



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/931,492	08/16/2001	Thomas J. Colson	IPCP:107_US_	4008
24041	7590	03/23/2006	EXAMINER	
SIMPSON & SIMPSON, PLLC 5555 MAIN STREET WILLIAMSVILLE, NY 14221-5406			WINTER, JOHN M	
			ART UNIT	PAPER NUMBER
			3621	
DATE MAILED: 03/23/2006				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/931,492	COLSON ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	John M. Winter	3621	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 06 January 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 2-44 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 2-11, 14-16, 19-22, 24-33, 36-38 and 41-44 is/are rejected.
- 7) ☒ Claim(s) 12-13, 17, 18, 23, 34-35, 39 and 40 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

Claims 2-44 remain pending.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

### *Response to Arguments*

The Applicants arguments filed on January 6, 2006 have been fully considered.

The Applicant submits that the prior art record fails to disclose the amended feature of "database is publically accessible".

The Examiner submits that this feature is disclosed by Donner (US Patent 6,154,725) See following rejection.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2-11, 14-16,19-22, 24-33,36-38,and 41-44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rivette et al. (US Patent 6,339,767) in view of Donner (US Patent 6,154,725) in view of Rivette et al. (US Patent 5,991,751) and further in view of

As per claim 11

Rivette et al. ('767) discloses a method of publishing a product document, said method comprising the steps of:

providing a searchable document database and a publication Web site in communication with a document database, where said database is publically accessible;(Figure 3)

Art Unit: 3621

Rivette et al. ('767) does not explicitly disclose "electronically receiving said product document transmitted by a client's computer, and publishing said client document by adding said client document to said document database", Donner ('725) discloses "electronically receiving said product document transmitted by a client's computer,(Figure 1) and publishing said client document by adding said client document to said document database".(Figure 2) It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the Rivette et al. ('767) method with the Donner ('725) method in order in order to decrease the latency in document processing by utilizing electronic transmission means.

Rivette et al. ('767) does not explicitly disclose "wherein said publishing is for the purpose of disclosing information about a product to establish a bar to patentability of inventions practiced in said product", Rivette et al ('751) discloses wherein said publishing is for the purpose of disclosing information about a product to establish a bar to patentability of inventions practiced in said product".(Figure 2) It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the Rivette et al. ('767) method with the Rivette et al. ('751) method in order in order to decrease the latency in document processing by utilizing electronic transmission means.

Rivette et al. ('767) does not explicitly disclose "Digitally notarizing said product document and obtaining a document notarization record, said document notarization record including a timestamp", Khan et al. ('206) discloses digitally notarizing said product document and obtaining a document notarization record, said document notarization record including a timestamp (Column 4, lines 45-63 [utilizes timestamp]; Abstract [discloses notary function])

It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the Rivette et al. ('767) method with the Khan et al. ('206) method in order in order to decrease fraud by adding elements to verify authenticity such as time stamps.

Rivette et al. ('767) does not explicitly disclose "database is publically accessible", Donner ('725) discloses "database is publically accessible".(Figure 2; column 5, lines 44-57) It would have been obvious to one having ordinary skill in the art at the time the invention was made to combine the Rivette et al. ('767) method with the Donner ('725) method in order in order to form an IP portfolio utilize materila in the public domain..

Claim 33 is in parallel with claim 11 and rejected for the same reasons.

As per claim 2,  
Rivette et al. ('767) discloses the method of claim 11,  
wherein said product document and one or more attachment files said product document comprises a primary document and one or more attached files.(Figure 2)

Claim 24 is in parallel with claim 2 and rejected for the same reasons.

As per claim 3,  
Rivette et al. ('767) discloses the method of claim 11,  
wherein said attachment files include a sample deposition statement.(Column 18, lines 28-30)

Art Unit: 3621

Claim 25 is in parallel with claim 3 and rejected for the same reasons.

As per claim 4,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an image of a sales brochure.(Figure 2)

Claim 26 is in parallel with claim 4 and rejected for the same reasons.

As per claim 5,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an image of a purchase order.(Figure 2)

Claim 27 is in parallel with claim 5 and rejected for the same reasons.

As per claim 6,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an image of a sales invoice.(Figure 2)

Claim 28 is in parallel with claim 6 and rejected for the same reasons.

As per claim 7,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an image of a commercial Web site page.(Figure 2)

Claim 29 is in parallel with claim 7 and rejected for the same reasons.

As per claim 8,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an image of a printed advertisement.(Figure 2)  
Claim 30 is in parallel with claim 8 and rejected for the same reasons.

As per claim 9,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include a video clip.(Column 26, lines 9-16; Figure 2)  
Claim 31 is in parallel with claim 9 and rejected for the same reasons.

As per claim 10,  
Rivette et al. ('767) discloses the method of claim 2,  
wherein said attachment files include an audio clip.(Column 26, lines 9-16; Figure 2)

Claim 32 is in parallel with claim 10 and rejected for the same reasons.

As per claim 14,  
Rivette et al. ('767) discloses the method of claim 11,

Art Unit: 3621

Official Notice is taken that “charging said client a fee for adding said product document to said database” is common and well known in prior art in reference to data management. It would have been obvious to one having ordinary skill in the art at the time the invention was made to charge a fee for adding a document to the database in order to raise revenue to maintain the upkeep of the database

Claim 36 is in parallel with claim 14 and rejected for the same reasons.

As per claim 15,

Rivette et al. ('767) discloses the method of claim 14,

Official Notice is taken that “fee is paid before said product document is added to said database” is common and well known in prior art in reference to data management. It would have been obvious to one having ordinary skill in the art at the time the invention was made to charge a fee prior to adding a document to the database in order to raise revenue to maintain the upkeep of the database

Claim 37 is in parallel with claim 15 and rejected for the same reasons.

As per claim 16,

Rivette et al. ('767) discloses the method of claim 11,

further comprising the step of recording the number of times said product document is accessed by end users.(Column 36, lines 21-25)

Claim 38 is in parallel with claim 16 and rejected for the same reasons.

As per claim 19,

Rivette et al. ('767) discloses the method of claim 11,

further comprising the step of collecting and adding bibliographic data to said product document.(Column 18, lines 49-66)

Claim 41 is in parallel with claim 19 and rejected for the same reasons.

As per claim 20,

Rivette et al. ('767) discloses the method of claim 11,

wherein said product document is transmitted by said client's computer via one of a plurality of transfer protocols determined by said client.(Column 15, lines 2-3; Figure 3)

Claim 42 is in parallel with claim 20 and rejected for the same reasons.

As per claim 21,

Rivette et al. ('767) discloses the method of claim 20,

wherein said plurality of transfer protocols includes the HTTP and FTP.(Column 15, lines 2-3; Figure 3)

Claim 43 is in parallel with claim 21 and rejected for the same reasons.

Art Unit: 3621

As per claim 22,  
Rivette et al. ('767) discloses the method of claim 11,  
wherein said product document is published on a restricted basis for access only by users  
and user groups selected by said client.(Column 36, lines 27-38)

Claim 44 is in parallel with claim 22 and rejected for the same reasons.

### ***Allowable Subject Matter***

Claims 12-13, 17, 18, 23, 34-35, 39 and 40 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims and complying with double patenting statutes.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ***Conclusion***

Examiners note: Examiner has cited particular columns and line numbers in the references as applied to the claims above for the convenience of the applicant. Although the specified citations are representative of the teachings of the art and are applied to the specific limitations within the individual claim, other passages and figures may apply as well. It is respectfully requested from the applicant in preparing responses, to fully consider the references in entirety as potentially teaching all or part of the claimed invention, as well as the context of the passage as taught by the prior art or disclosed by the examiner.

Any inquiry of a general nature or relating to the status of this application or concerning this communication or earlier communications from the examiner should be directed to John Winter whose telephone number is (571) 272-6713. The Examiner can normally be reached on Monday-Friday, 9:30am-5:00pm. If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, **James Trammell** can be reached at (571) 272-6712. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://portal.uspto.gov/external/portal/pair>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). Any response to this action should be mailed to:

**Commissioner of Patents and Trademarks**

Application/Control Number: 09/931,492

Page 7

Art Unit: 3621

**Washington, D.C. 20231**

or faxed to:

**(571) 272-8300** [Official communications; including After Final communications labeled  
"Box AF"]

Hand delivered responses should be brought to the Examiner in the Knox Building, 50  
Dulany St. Alexandria, VA.

JMW  
March 20, 2006

A handwritten signature in black ink, consisting of a large, stylized 'J' followed by several loops and a long horizontal stroke extending to the right.